

**Definition 2.1.** Let  $K$  be a field. We have a natural homomorphism  $\mathbb{Z} \rightarrow K$ . We will write  $n \rightarrow \bar{n}$ . We say that  $K$  is a field of *characteristic zero* if this homomorphism is an imbedding. If this homomorphism is not an imbedding then we define the characteristic of  $K$  as the smallest positive number  $n$  such that  $\bar{n} = 0$ .

We denote the characteristic  $K$  by  $\text{ch } K$ .

*Remark.* You will see that either  $\text{ch } K = 0$  or it is a prime number .

**Definition 2.2.** Let  $L$  be an extension of  $K$  and  $\{\alpha_1, \dots, \alpha_n\}$  a set of elements in  $L$ . We denote by  $K(\alpha_1, \dots, \alpha_n) \subset L$  the minimal subfield of  $L$  containing  $K$  and  $\{\alpha_1, \dots, \alpha_n\}$ .

Let  $L$  be a finite extension of  $K$ . We can ask whether this extension is elementary. To analyze the case when  $K$  is a finite field we prove the following result which has an independent interest.

Let  $K$  be a field. We denote by  $K^* = K - 0$  the commutative group of non-zero elements of  $K$  where the group product is the multiplication.

**Lemma 2.1.** Let  $G \subset K^*$  be a finite subgroup. Then  $G$  is a cyclic group.

**Proof.** As you know any finite commutative group  $G$  there exists a sequence of distinct prime numbers  $p_i, 1 \leq i \leq n$  and finite commutative  $G^i$  such that the group that order of  $G^i$  is a power of  $p_i$  and  $G$  is isomorphic to a product  $G = \prod_{i=1}^n G^i$ . Moreover the the group  $G$  is cyclic iff all the groups  $G^i$  are cyclic.

You also know that a finite commutative  $p$ -group  $H$  is not cyclic then  $|H(p)| > p$  where  $H(p) := \{h \in H | h^p = 1\}$ . So it is sufficient to show that for any  $i, 1 \leq i \leq n$  we have  $|G^i(p_i)| \leq p_i$ . Therefore it is sufficient to show that for any prime number  $p$  we have  $|G(p)| \leq p$ .

Since  $G$  is a subgroup of  $K^*$  we have  $G(p) \subset K^*(p)$  where  $K^*(p) = \{a \in K | a^p = 1\}$ . In other words  $\{K^*(p)\}$  is the set of roots of the polynomial  $t^p - 1$  in  $K$ . But it follows from Problem 1.1.c) that  $|K^*(p)| \leq \deg (t^p - 1) = p$ . Therefore  $|G(p)| \leq p$ .  $\square$ .

**Corollary.** Any extension  $L \supset K$  such that  $|L| < \infty$  is elementary.

**Proof.** Since  $L$  is a finite field it follows from Lemma 2.1 that there exists  $\alpha \in L$  such that and  $l \in L^*$  is a power of  $\alpha$ . It is clear then that  $L = K(\alpha)$ .

**Definition 2.3.** We say that a finite extension  $L \supset K$  satisfies the condition  $\star$  if there exists only a finite number of subfields  $F \subset L$  containing  $K$ .

**Theorem 2.1.** A finite extension  $L \supset K$  is elementary iff it satisfies the condition  $\star$ .

**Proof.** We have to show that

a) if  $L \supset K$  is a finite extension of  $K$  which satisfies the condition  $\star$  then the extension  $L \supset K$  is elementary

and

b) if  $L \supset K$  is an elementary extension then it satisfies the condition  $\star$ .

We will prove now only the part a) and will return to the proof of the part b) later. We also show later that any finite extension  $L$  of a field  $K$  of characteristic 0 satisfies the condition  $\star$ .

**Proof of a).** Assume that  $L \supset K$  is a finite extension of  $K$  such that there exists only a finite number of subfields  $F \subset L$  containing  $K$ . Since the extension  $L \supset K$  is finite there exists a finite basis  $\{\alpha_1, \dots, \alpha_n\}$  of  $L$  over  $K$ . It is clear that  $K(\alpha_1, \dots, \alpha_n) = L$ . The proof is by the induction on the size  $n$  of a finite set  $\{\alpha_1, \dots, \alpha_n\} \in L$  such that  $K(\alpha_1, \dots, \alpha_n) = L$ . If  $n = 1$  there is nothing to prove.

Consider the case  $n = 2$ . For any  $c \in K$  consider the subfield  $K(\alpha_1 + c\alpha_2) \subset L$ . Since the extension  $L \supset K$  satisfies the condition  $\star$  there exists only a finite number of subfields  $F \subset L$  containing  $K$ . On the other hand the field  $K$  is infinite. Therefore there exists  $c_1 \neq c_2 \in K$  such that

$$K(\alpha_1 + c_1\alpha_2) = K(\alpha_1 + c_2\alpha_2)$$

Let  $F := K(\alpha_1 + c_2\alpha_2)$ . Since  $F := K(\alpha_1 + c_1\alpha_2)$  we see that  $\alpha_1 + c_1\alpha_2, \alpha_1 + c_2\alpha_2 \in F$ . So  $(c_1 - c_2)\alpha_2 \in F$  and therefore  $\alpha_2 \in F$ . Since  $\alpha_1 + c_1\alpha_2, \alpha_2 \in F$  we see that  $\alpha_1 \in F$ . Since  $\alpha_1, \alpha_2 \in F$  and  $K(\alpha_1, \alpha_2) = L$  we have  $K(\alpha_1 + c_2\alpha_2)L$ .

Proceeding inductively, we see that if  $L = K(\alpha_1, \dots, \alpha_n)$  then there exist elements  $c_2, \dots, c_n \in K$  such that  $L = K(\alpha_1 + c_2\alpha_2 + \dots + c_n\alpha_n) \square$ .

**Constructions of fields.** We will discuss two ways to construct new fields: the construction of the fraction field and the adjoining of a root of an irreducible polynomial.

**Definition 2.3.** Let  $A$  be a commutative ring. We say that  $A$  is *integral* if for any  $a, b \in A - \{0\}$  we have  $ab \neq 0$ .

Let  $A$  be an integral commutative ring.

Consider the set  $X$  of pairs  $(a, s), a \in A, s \in A - \{0\}$ . We define operations

$$((a, s), (a', s')) \rightarrow (a, s) + (a', s'), ((a, s), (a', s')) \rightarrow (a, s)(a', s')$$

on  $X$  by

$$(a, s)(a', s') := (aa', ss'), (a, s) + (a', s') := (as' + a's, ss')$$

Consider an equivalence relation  $\equiv$  on  $X$  defined by

$$(a, s) \equiv (a', s') \text{ if } as' = a's$$

[ check that  $\equiv$  is an equivalence relation] and denote by  $K(A)$  the set of equivalence classes under the equivalence relation  $\equiv$ . As you will show the operations

$$((a, s), (a', s')) \rightarrow (a, s) + (a', s'), ((a, s), (a', s')) \rightarrow (a, s)(a', s')$$

define operations on the set  $K(A)$  and the set  $K(A)$  acquires the structure of a field. We call this field the field of *fractions* of  $A$ .

Examples. a) If  $A = \mathbb{Z}$  then  $K(A) = \mathbb{Q}$ ,

b) if  $A$  is a field then  $K(A) = A$ ,

c) if  $K$  is a field we denote the field of fraction of  $K[t]$  by  $K(t)$  and call it the field of rational functions over  $K$  in one variable,

d) analogously  $K$  is a field we denote the field of fraction of  $K[t_1, \dots, t_n]$  by  $K(t_1, \dots, t_n)$  and call it the field of rational functions over  $K$  in  $n$  variables.

To define the construction of adjoining of a root of an irreducible polynomial we have prove some results about the ring  $K[t]$  of polynomials.

**Definition 2.4.** a) If a non-zero polynomial  $p(t)$  divides  $q(t)$  we write  $p(t)|q(t)$ .

b) A non-zero polynomial  $p(t) = \sum_{i=0}^n c_i t^i$  of degree  $n$  polynomial is *monic* if  $c_n = 1$ ,

c) Let  $q(t), r(t) \in K[t]$  be non-zero polynomials. We denote by  $I \subset K[t]$  be the set of polynomials  $s(t)$  of the form  $s(t) = a(t)q(t) + b(t)r(t)$ ,  $a(t), b(t) \in K[t]$ . It is clear that  $I \subset K[t]$  is a non-zero ideal. As follows from Lemma 1.1 and the Problem 1.1.a) there exists unique monic polynomial  $p(t)$  such that  $I = (p(t))$ . We say that the polynomial  $p(t)$  is the *greatest common divisor* of  $q(t), r(t) \in K[t]$ .

d) we say that  $q(t), r(t) \in K[t]$  are *relatively prime* if the greatest common divisor of  $q(t), r(t) \in K[t]$  is equal to 1.

**Lemma 2.2.** If  $q(t)$  is irreducible and  $a_1(t), \dots, a_n(t)$  are polynomials such that  $q(t)$  divides the product  $a_1(t) \times \dots \times a_n(t)$  then there exists  $i, 1 \leq i \leq n$  such that  $q(t)|a_i(t)$ .

**Proof.** We can assume that  $q(t)$  is monic. The proof is by induction in  $n$ . If  $n = 1$  there is nothing to prove. Consider the case  $n = 2$ . To prove the Lemma in the case  $n = 2$  we have to show for any polynomials  $a_1(t), a_2(t) \in K[t]$  such that  $q(t)$  does not divide neither  $a_1(t)$  nor  $a_2(t)$  the polynomial  $q(t)$  does not divide  $a_1(t)a_2(t)$ .

Let  $p(t)$  be the greatest common divisor of  $q(t)$  and  $a_1(t)$ . By the definition  $q(t) \in (p(t))$  and therefore  $p(t)|q(t)$ . Since  $q(t)$  is irreducible it is possible only either  $p(t) = q(t)$  or if  $p(t) = 1$ . Since  $q(t)$  does not divide  $a_1(t)$  we see that  $p(t) \neq q(t)$ . So  $p(t) = 1$ .

By the definition of the greatest common divisor there exist  $b(t), c(t) \in K[t]$  such that  $b(t)q(t) + c(t)a_1(t) = 1$ . Therefore  $a_2(t)b(t)q(t) + c(t)a_1(t)a_2(t) = a_2(t)$ . Since  $q(t)$  does not divide  $a_2(t)$  but divides  $a_2(t)b(t)q(t)$  we see that  $q(t)$  does not divide  $c(t)a_1(t)a_2(t)$ . If so it also does not divide  $a_1(t)a_2(t)$ . This ends the proof of the case when  $n = 2$ .

Suppose we now the Lemma is known for products of  $n-1$  factors. We want to prove it for a product  $a_1(t), \dots, a_n(t)$  of  $n$  factors. Let  $b(t) := a_2(t), \dots, a_n(t)$ . Then  $a_1(t), \dots, a_n(t) = a_1(t)b(t)$ . Since  $q(t)|a_1(t)b(t)$  we know that either  $q(t)|a_1(t)$  or  $q(t)|b(t)$ . In the first case there is nothing to prove. In the second we can apply the inductive assumption.  $\square$

**Lemma 2.3.** a) Let  $q(t) \in K[t]$  be a polynomial of positive degree. Then there exists  $a \in K - 0$ , irreducible monic polynomials  $p_i \in K[t]$  and positive numbers  $m_i, 1 \leq i \leq n$  such that

$$q(t) = ap_1(t)^{m_1} \dots p_n(t)^{m_n}$$

b) such a factorization is unique up to the order of  $p_i \in K[t]$ .

It is clear that it is sufficient to prove Lemma in the case when  $q(t) \in K[t]$  is monic.

**Proof of a).** The proof is by the induction in  $\deg q(t)$ . If  $\deg q(t) = 1$  then  $q(t) = t + b$  and it is clear that  $q(t)$  is an irreducible monic polynomial.

Assume now that the part a) of Lemma is known for all polynomial of degree  $< n$ . Let  $q(t) \in K[t]$  be a monic polynomial of degree  $n$ . If  $q(t)$  is irreducible then there is nothing to prove. So assume that  $q(t)$  is reducible. Then there exist polynomials  $q'(t), q''(t)$  of positive degrees such that  $q(t) = q'(t)q''(t)$ . Since  $\deg q'(t), \deg q''(t) < \deg q(t)$  we know by the inductive assumption that  $q'(t), q''(t)$  are products of irreducible monic polynomials. Therefore  $q(t) = q'(t)q''(t)$  is also a product of irreducible monic polynomials.  $\square$ .

**Proof of b).** The proof is also by the induction in  $\deg q(t)$ . As before the case when  $\deg q(t) = 1$  is clear. Assume that the part b) of Lemma is known for all polynomial of degree  $< n$ . Let  $q(t) \in K[t]$  be a monic polynomial of degree  $n$ . Suppose that we have two decompositions of  $q(t)$  in products of irreducible monic polynomials

$$q(t) = p_1(t)^{m_1} \dots p_n(t)^{m_n} = r_1(t)^{l_1} \dots r_s(t)^{l_s}$$

where  $p_i(t), r_j(t)$  are irreducible monic polynomials and  $m_i, l_j > 0$ . We have to show that  $n = s$  and there exists a permutation  $\sigma : [1, n] \rightarrow [1, n]$  such that  $p_i(t) = q_{\sigma(i)}(t), m_i = l_{\sigma(i)}$  for all  $i, 1 \leq i \leq n$ .

Since  $p_n(t) | q(t) = r_1(t)^{l_1} \dots r_s(t)^{l_s}$  we see by Lemma 2.2 that there exists  $j, 1 \leq j \leq s$  such that  $p_n(t) | r_j(t)$ . But since  $r_j(t)$  is an irreducible monic polynomial we have  $p_n(t) = r_j(t)$ . By changing the order of factors  $r_i(t)$  we can assume that  $j = s$ .

Let  $\bar{q}(t) := q(t)/p_n(t)$ . Then we have  $\bar{q}(t) := q(t)/r_s(t)$  and

$$\bar{q}(t) = p_1(t)^{m_1} \dots p_n(t)^{m_n-1} = r_1(t)^{l_1} \dots r_s(t)^{l_s-1}$$

where we omit factors  $p_n(t)$  and/or  $r_s(t)$  if  $m_n = 1$  and/or  $l_s = 1$ . Since  $\deg \bar{q}(t) = n - 1$  we know the uniqueness of the factorization of  $\bar{q}(t)$  into the product of irreducible monic polynomials. But this implies immediately the uniqueness of the factorization for  $q(t)$   $\square$ .

Now we can describe the construction of adjoining of a root of an irreducible polynomial.

Let  $p(t) \in K[t]$  be an irreducible polynomial, and  $L := K[t]/(p(t))$  be the quotient ring.

- Lemma 2.4.** a) The ring  $L$  is a field,  
b) the polynomial  $p(t)$  has root in  $L$ .

**Proof of a).** To show that the ring  $L$  is a field we have to show that for any  $l \in L - \{0\}$  there exists  $v \in L$  such that  $lv = 1$ . Consider  $L$  as a  $K$ -vector space. It is clear that  $\dim_K(L) = \deg p(t) < \infty$ . Let  $A : L \rightarrow L$  be the operator of the multiplication by  $l$ .

**Claim.**  $\text{Ker}(A) = \{0\}$ .

**Proof of the claim.** Let  $m$  be an element of  $\text{Ker}(A)$ . We want to show that  $m = 0$ .

Let  $l(t), m(t) \in K[t]$  be representatives of  $l$  and  $m$  in  $K[t]$ . Then  $l(t)m(t) \in K[t]$  is a representative of  $A(m)$ . Since  $m \in \text{Ker}(A)$  we have  $l(t)m(t) \in (p(t))$ . In other words  $p(t) | l(t)m(t)$ . Since  $l \neq 0$  we see that  $p(t)$  does not divide  $l(t)$ . It follows now from Lemma 2.3 that  $p(t) | m(t)$ . In other words  $m = 0$   $\square$ .

Now we can finish the proof of Lemma 2.4. Since  $\text{Ker}(A) = \{0\}$  and  $\dim_K(L) < \infty$  we see that  $A : L \rightarrow L$  is onto. Therefore there exists  $v \in L$  such that  $A(v) = 1$   $\square$ .

**Proof of b).** Let  $\alpha$  be the image of  $t \in K[t]$  in  $L$ . Then  $(\alpha) \in L$  is the image of  $p(t) \in K[t]$ . But by the definition of  $L := K[t]/(p(t))$  the image of  $p(t)$  in  $L$  is equal to  $0$   $\square$

We will say that the field  $L := K[t]/(p(t))$  is obtained from  $K$  by adjoining a root of the polynomial  $p(t)$ .